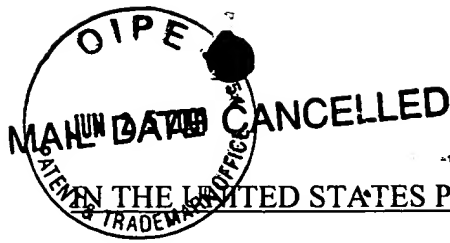


Receipt  
# 5 co  
10-18-01



In re application of:

Group Art Unit No.: 2164

Sarat C. Sankaran, et al.

Examiner: NYA

Serial No.: 09/804,851

**FILE COPY**

Filed: March 13, 2001

**RECEIVED**

For: INTERACTIVE METHOD AND APPARATUS  
FOR REAL-TIME FINANCIAL PLANNING

AUG 21 2001

Technology Center 2100

Commissioner for Patents  
Office of the Initial Patent Examination  
Customer Service Center  
Washington, DC 20231

**REQUEST FOR A CORRECTED FILING RECEIPT AND REQUEST  
TO CHANGE ATTORNEY DOCKET NUMBER AND ATTORNEY ADDRESS**

Sir:

Please furnish the undersigned with a corrected filing receipt for the above-identified application. The corrections needed are as indicated below.


Please change attorney docket number from 38134-0100 to 60025-0011.

Please change attorney address pursuant to Revocation and New Appointment of Power of Attorney, a copy of which is attached and which was filed on June 7, 2001.

(1) The title is incorrect. The correct title should read as follows: **"INTERACTIVE METHOD AND APPARATUS FOR REAL-TIME FINANCIAL PLANNING"**.

Respectfully submitted,

HICKMAN PALERMO TRUONG & BECKER LLP



Craig G. Holmes  
Registration No. 44,770

Dated: June 22, 2001

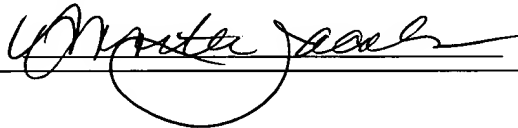
1600 Willow Street  
San Jose, California 95125-5106  
Telephone: (408) 414-1080 ext. 207  
Facsimile: (408) 414-1076

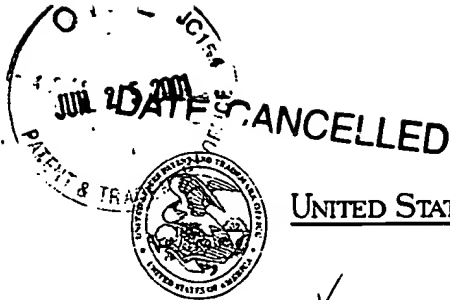
**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Commissioner for Patents, Office of the Initial Patent Examination, Customer Service Center, Washington, D.C. 20231 on June 22, 2001.

on Annette Jacobs

by





UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART. SMT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/804,851	03/13/2001	TRADEMARK 164	355	38134.0100	14	20	2

CONFIRMATION NO. 2552

APR 26 2001

FILING RECEIPT

 SNELL & WILMER L.L.P.  
 One Arizona Center  
 400 East Van Buren  
 Phoenix, AZ 85004-0001

SNELL &amp; WILMER



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AUG 21 2001

Date Mailed: Technology Center 2100

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

 Sarat C. Sankaran, San Carlos, CA;  
 Vineet Jain, Milpitas, CA;  
 Nancy B. Capelli, Woodside, CA;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 04/23/2001

Projected Publication Date: To Be Determined - pending completion of Corrected Papers

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title

Interactive method and apparatus for real-time financial

 planning  
 missing  
 h44  
 P.W. of

noted for receipt. Correct FR w/ 24264-0171P 62501